

# SMOOTHING BOUNDS FOR CODES AND LATTICES: SYSTEMATIC STUDY AND NEW BOUNDS

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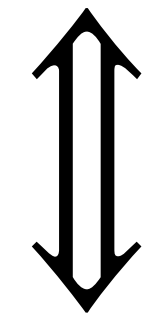
**SMOOTHING**

# SMOOTHING

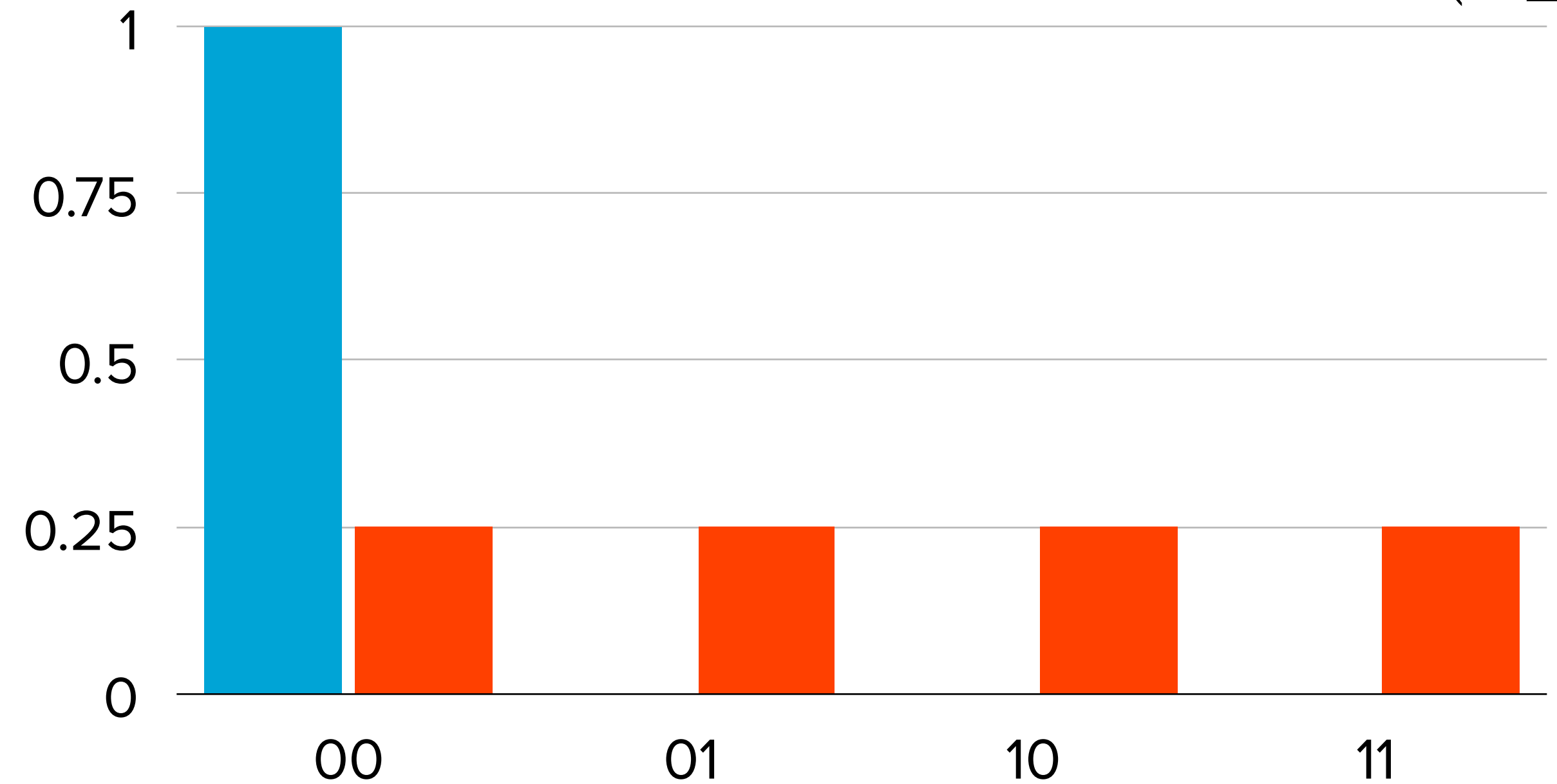
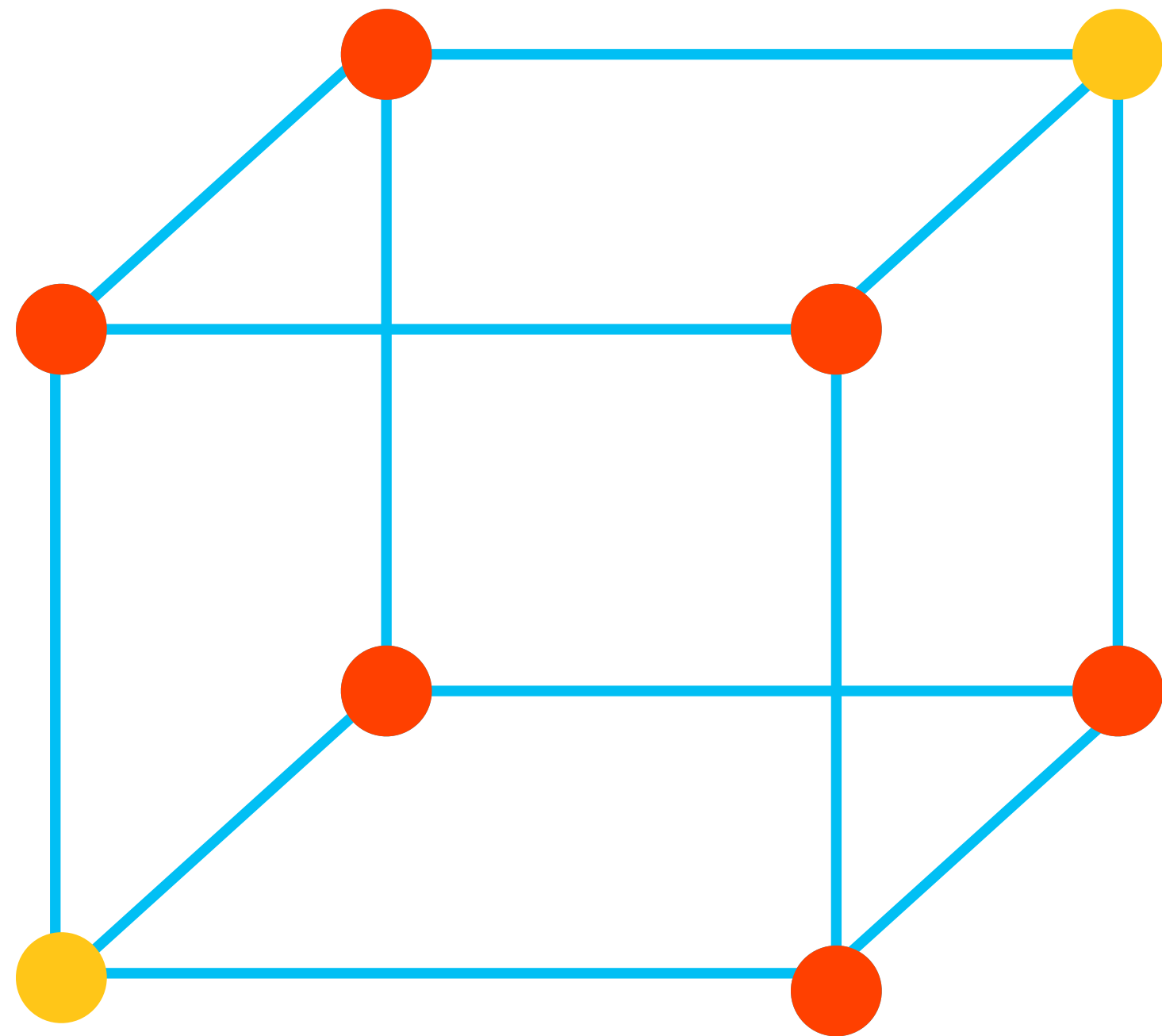
$$\mathcal{C} = \{000, 111\}$$

$\mathbf{e} = \text{unif. wt. } 0, 1$

$$\mathbf{c} \sim \text{Unif}(\mathcal{C})$$
$$\mathbf{c} + \mathbf{e} \sim \text{Unif}(\mathbb{F}_2^3)$$



$$\mathbf{e} \bmod \mathcal{C} \sim \text{Unif}(\mathbb{F}_2^3 / \mathcal{C})$$



# SMOOTHING BOUNDS

- Given  $\mathcal{C} \leq \mathbb{F}_2^n$  of dim.  $k$ , quantify closeness between  $\mathbf{u} \sim \text{Unif}(\mathbb{F}_2^n/\mathcal{C})$  and  $\mathbf{e} \bmod \mathcal{C}$
- Use statistical /  $\ell_1$ -distance:

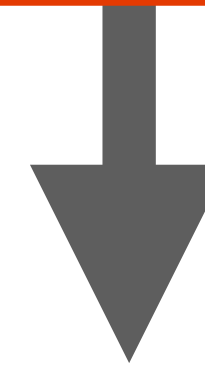
$$\begin{aligned}\Delta(\mathbf{u}, \mathbf{e} \bmod \mathcal{C}) &= \max_{S \subseteq \mathbb{F}_2^n/\mathcal{C}} (\Pr[\mathbf{u} \in S] - \Pr[\mathbf{e} \bmod \mathcal{C} \in S]) \\ &= \frac{1}{2} \sum_{\mathbf{x} \in \mathbb{F}_2^n/\mathcal{C}} |\Pr[\mathbf{u} = \mathbf{x}] - \Pr[\mathbf{e} \bmod \mathcal{C} = \mathbf{x}]|\end{aligned}$$

Question: How "large" must the noise  $\mathbf{e}$  be to have

$$\Delta(\mathbf{u}, \mathbf{e} \bmod \mathcal{C}) \leq \text{negl}(n) ?$$

- Measure "largeness" as  $t = \mathbb{E}[|\mathbf{e}|]$  where  $|\mathbf{x}| = |\{i \in [n] : x_i = 1\}|$

# MOTIVATIONS



- Wiretap channel
  - [Mirghsemi-Belfiore'14] (Gaussian noise)
- Lattice trapdoors
  - [Gentry-Peikert-Vaikuntanathan'08]
- Mixing on Markov chains
  
- Reductions to average-case problems
  - we'll discuss this later...

# TRANSLATING STRATEGY

[MR07, GPV08]

## LATTICES

- Use *Gaussian* noise
- *Poisson summation* formula: sum over lattice becomes sum of Fourier transform over dual lattice
- Tail bounds for Gaussians (Banaszczyk 93)
- More recently [ADRS15]: *LP bounds* [L79]

Lattice strategy translates flawlessly!

## CODES

- Use *Bernoulli* noise
- *Poisson summation* formula applies to codes too! Get summation over  $\mathcal{C}^* = \{\mathbf{c}^* : \langle \mathbf{c}^*, \mathbf{c} \rangle = 0 \ \forall \mathbf{c} \in \mathcal{C}\}$
- Code version quite weak...
- Code *LP bounds* quite effective! [MRRW77]

Result fairly weak...  
Can we improve it?

# OUR STRATEGY

# PERIODIZATION

$f$  made uniform on  
cosets of  $\mathcal{C}$ :  
 $f^{\mathcal{C}}(\mathbf{x}) = f^{\mathcal{C}}(\mathbf{x} + \mathbf{c})$   
 $\forall \mathbf{x} \in \mathbb{F}_2^n, \mathbf{c} \in \mathcal{C}$

- For function  $f$  on  $\mathbb{F}_2^n$ ,  $f^{\mathcal{C}}$  denotes its periodization w.r.t.  $\mathcal{C}$

$$f^{\mathcal{C}}(\mathbf{x}) = \sum_{\mathbf{c} \in \mathcal{C}} f(\mathbf{c} + \mathbf{x})$$

- Naturally view as function on  $\mathbb{F}_2^n / \mathcal{C}$
- Given distribution  $\nu$  of noise  $\mathbf{e} \sim \mathbb{F}_2^n$ , distribution of  $\mathbf{e} \bmod \mathcal{C}$  is  $\nu^{\mathcal{C}}$

$$\Pr[\mathbf{e} \bmod \mathcal{C} = \mathbf{x}] = \sum_{\substack{\mathbf{y} \in \mathbb{F}_2^n \\ \mathbf{y} \bmod \mathcal{C} = \mathbf{x}}} \Pr[\mathbf{e} = \mathbf{y}]$$

$$= \sum_{\mathbf{c} \in \mathcal{C}} \Pr[\mathbf{e} = \mathbf{x} + \mathbf{c}] = \sum_{\mathbf{c} \in \mathcal{C}} \nu(\mathbf{x} + \mathbf{c}) = \nu^{\mathcal{C}}(\mathbf{x})$$



# SETUP

- Let  $\mu(\mathbf{x}) = 2^{-(n-k)}$  for all  $\mathbf{x} \in \mathbb{F}_2^n/\mathcal{C}$  (uniform distribution)
- $\nu$  distribution of noise  $\mathbf{e}$  (assume radial)  $\nu(\mathbf{x})$  only function of  $|\mathbf{x}|$
- Define  $f = 2^{n-k}\nu$  and  $g = 2^{n-k}\mu$  relative density functions

$$\begin{aligned} 2 \cdot \Delta(\mu, \nu^{\mathcal{C}}) &= \sum_{\mathbf{x} \in \mathbb{F}_2^n/\mathcal{C}} \left| \mu(\mathbf{x}) - \nu^{\mathcal{C}}(\mathbf{x}) \right| \\ &= \frac{1}{2^{n-k}} \sum_{\mathbf{x} \in \mathbb{F}_2^n/\mathcal{C}} \left| g(\mathbf{x}) - f^{\mathcal{C}}(\mathbf{x}) \right| = \|g - f^{\mathcal{C}}\|_{L_1} \end{aligned}$$

# STEP 1: CAUCHY-SCHWARZ

- We can upper bound

$$\|g - f^{\mathcal{C}}\|_{L_1} \leq \|g - f^{\mathcal{C}}\|_{L_2}$$

- The  $L_2$ -norm interacts well with the Fourier Transform...

For  $f : U \rightarrow \mathbb{C}, p \geq 1$ :

$$\|f\|_{\ell_p} := \left( \sum_{x \in U} |f(x)|^p \right)^{1/p}$$
$$\|f\|_{L_p} := \left( \frac{1}{|U|} \sum_{x \in U} |f(x)|^p \right)^{1/p}$$

# FOURIER FOR COSET FUNCTIONS

– Let  $f, g : \mathbb{F}_2^n / \mathcal{C} \rightarrow \mathbb{C}$  be functions (e.g., densities)

– Scalar product:  $\langle f, g \rangle = \frac{1}{2^{n-k}} \sum_{\mathbf{x} \in \mathbb{F}_2^n / \mathcal{C}} f(\mathbf{x}) \overline{g(\mathbf{x})}$ ; norm  $\|f\|_{L_2} = \sqrt{\frac{1}{2^{n-k}} \sum_{\mathbf{x} \in \mathbb{F}_2^n / \mathcal{C}} |f(\mathbf{x})|^2}$

– **Orthonormal basis** of characters:  $\chi_{\mathbf{c}^*}$  for  $\mathbf{c}^* \in \mathcal{C}^*$  defined as  $\chi_{\mathbf{c}^*}(\mathbf{x}) = (-1)^{\mathbf{c}^* \cdot \mathbf{x}}$

– Fourier transform:  $\hat{f} : \mathcal{C}^* \rightarrow \mathbb{C}$  defined by  $\hat{f}(\mathbf{c}^*) = \langle f, \chi_{\mathbf{c}^*} \rangle$

– Yields **Fourier decomposition**:  $f(\mathbf{x}) = \sum_{\mathbf{c}^* \in \mathcal{C}^*} \hat{f}(\mathbf{c}^*) \chi_{\mathbf{c}^*}(\mathbf{x})$

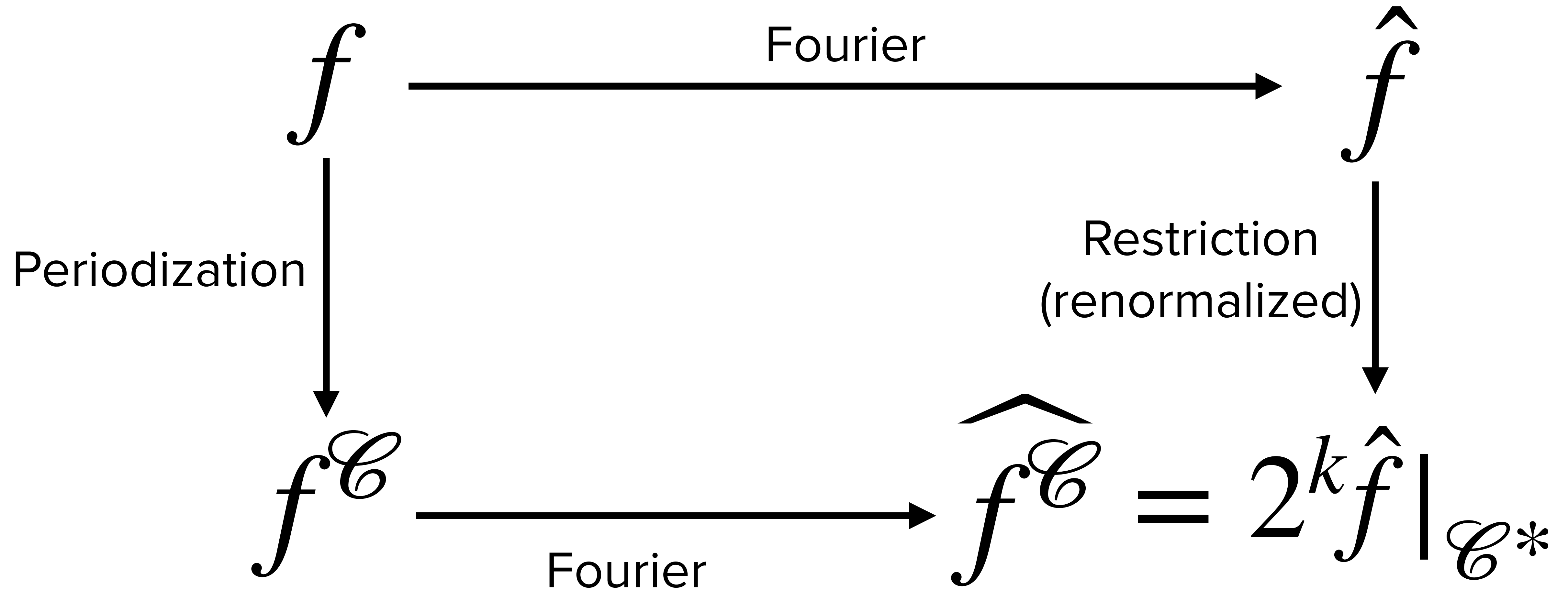
– **Parseval's Identity**:  $\|f\|_{L_2} = \|\hat{f}\|_{\ell_2} = \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C}^*} |\hat{f}(\mathbf{c}^*)|^2}$

# STEP 2: PARSEVAL'S IDENTITY

$$\|g - f^{\mathcal{L}}\|_{L_2} = \|\widehat{g - f^{\mathcal{L}}}\|_{\ell_2} = \|\widehat{g} - \widehat{f^{\mathcal{L}}}\|_{\ell_2} = \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C}^*} \left( \widehat{g}(\mathbf{c}^*) - \widehat{f^{\mathcal{L}}}(\mathbf{c}^*) \right)^2}$$

- Need to compute **fourier transform of periodization** of a function

# STEP 3: POISSON SUMMATION



# PUTTING IT TOGETHER

— Therefore:

$$\widehat{f^{\mathcal{C}}}(\mathbf{0}) = 2^k \widehat{f}(\mathbf{0}) = \frac{2^k}{2^n} \sum_{\mathbf{x} \in \mathbb{F}_2^n} f(\mathbf{x}) (-1)^{\langle \mathbf{x}, \mathbf{0} \rangle} = \frac{2^k}{2^n} \sum_{\mathbf{x} \in \mathbb{F}_2^n} 2^{n-k} \cdot \nu(\mathbf{x}) = 1$$

$$\widehat{g}(\mathbf{c}^*) = \frac{1}{2^{n-k}} \sum_{\mathbf{x} \in \mathbb{F}_2^n / \mathcal{C}} 2^{n-k} \mu(\mathbf{x}) \cdot (-1)^{\langle \mathbf{x}, \mathbf{c}^* \rangle} = \begin{cases} 1 & \mathbf{c}^* = \mathbf{0} \\ 0 & \text{otherwise} \end{cases}$$

— Thus:

$$\sqrt{\sum_{\mathbf{c}^* \in \mathcal{C}^*} \left( \widehat{g}(\mathbf{c}^*) - \widehat{f^{\mathcal{C}}}(\mathbf{c}^*) \right)^2} = 2^k \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C} \setminus \{\mathbf{0}\}} \widehat{f}(\mathbf{c}^*)^2} = 2^n \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C} \setminus \{\mathbf{0}\}} \widehat{\nu}(\mathbf{c}^*)^2}$$

# COMPARISON TO PREVIOUS APPROACH

- Essentially, we replaced triangle inequality by Cauchy-Schwarz
- [MR07,GPV08] approach would get following bound:

$$2\Delta(\mu^{\mathcal{C}}, \nu^{\mathcal{C}}) \leq 2^n \sum_{\mathbf{c}^* \in \mathcal{C} \setminus \{\mathbf{0}\}} |\hat{\nu}(\mathbf{c}^*)|$$

Our bound  $2^n \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C} \setminus \{\mathbf{0}\}} |\hat{\nu}(\mathbf{c}^*)|^2}$  is smaller

$$a^2 + b^2 \leq (a + b)^2$$

# REMAINING CHALLENGE:

How to bound

$$2^n \sqrt{\sum_{\mathbf{c}^* \in \mathcal{C} \setminus \{\mathbf{0}\}} \hat{v}(\mathbf{c}^*)^2} = 2^n \sqrt{\sum_{\ell \geq d_{\min}(\mathcal{C}^*)} N_\ell(\mathcal{C}^*) \hat{v}(\ell)^2} ?$$

— Above:

$$N_\ell(\mathcal{C}^*) = |\{\mathbf{c}^* \in \mathcal{C}^* : |\mathbf{c}^*| = \ell\}|$$

$$d_{\min}(\mathcal{C}^*) = \min\{|\mathbf{c}^*| : \mathbf{c}^* \in \mathcal{C}^* \setminus \{\mathbf{0}\}\}$$



# TWO CASES

## RANDOM CODES/ LATTICES

- Easier computations
- Guide choice of smoothing distribution

## ARBITRARY CODES/ LATTICES

- Case of interest
- Approach guided by random case

**RANDOM CODES**

# RANDOM CODES

— For random dimension  $k$   $\mathcal{C} \leq \mathbb{F}_2^n$ :

$$\mathbb{E} [N_\ell(\mathcal{C}^*)] = 2^{-k} \binom{n}{\ell}$$

$$\mathbb{E} [2\Delta(\mu, \nu^{\mathcal{C}})] \leq \mathbb{E} \left[ 2^n \sqrt{\sum_{\ell>0} N_\ell(\mathcal{C}^*) \hat{\nu}(\ell)^2} \right]$$

Jensen's  
Inequality

$$\leq 2^n \sqrt{\sum_{\ell>0} \mathbb{E} [N_\ell(\mathcal{C}^*)] \hat{\nu}(\ell)^2}$$

$$= 2^n \sqrt{\sum_{\ell>0} 2^{-k} \binom{n}{\ell} \hat{\nu}(\ell)^2}$$

Easy to  
estimate!

# BERNOULLI NOISE

- Let  $\varphi_p(\mathbf{x}) = p^{|\mathbf{x}|}(1-p)^{n-|\mathbf{x}|}$  be distribution of Bernoulli noise

$$\hat{\varphi}_p(\mathbf{x}) = \frac{1}{2^n}(1-2p)^{|\mathbf{x}|}$$

$$\begin{aligned}\mathbb{E} \left[ 2\Delta(\mu, \varphi_p^{\mathcal{L}}) \right] &\leq 2^n \sqrt{\sum_{\ell > 0} 2^{-k} \binom{n}{\ell} \hat{\varphi}_p(\ell)^2} \\ &\leq 2^n \sqrt{\sum_{\ell=0}^n 2^{-k} \binom{n}{\ell} (2^{-n}(1-2p)^\ell)^2} \\ &= \sqrt{2^{-k} (1 + (1-2p)^2)^n}\end{aligned}$$

Binomial  
Theorem

# COMPARISON WITH TRADITIONAL APPROACH

— To have  $\sqrt{2^{-k} (1 + (1 - 2p)^2)^n} = \text{negl}(n)$ , suffices for

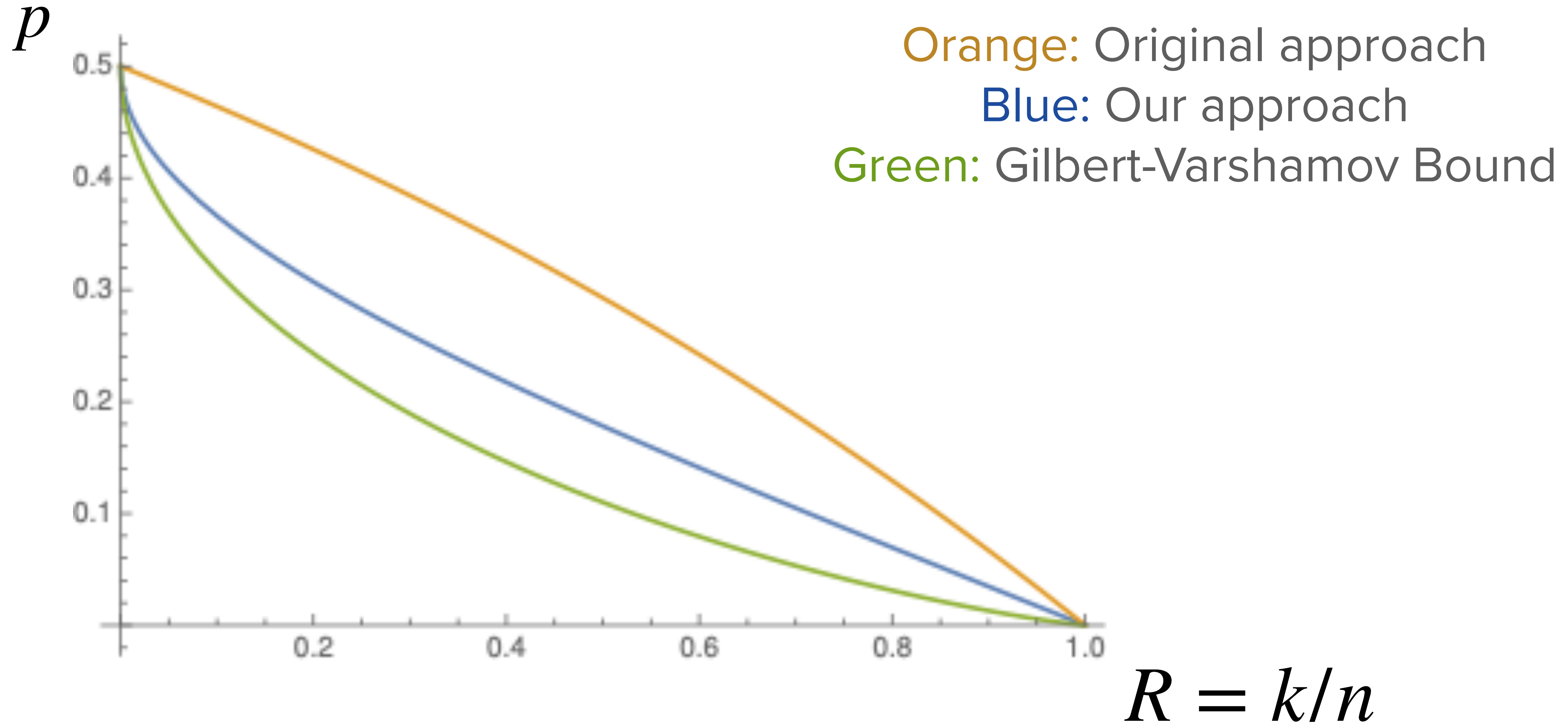
$$p \geq \frac{1}{2} \left( 1 - \sqrt{2^R - 1} \right) \text{ where } R = k/n$$

— Following **traditional lattice approach**: get upper bound

$$\mathbb{E} \left[ 2\Delta(\mu, \varphi_p^{\mathcal{C}}) \right] \leq 2^{n-k} (1 - p)^n$$

— To make  $\text{negl}(n)$ , need  $p \geq 1 - 2^{-(1-R)}$

# BETTER! BUT...



# GILBERT-VARSHAMOV BOUND

- Define  $w_{GV} = w_{GV}(n, k)$  so that

$$\binom{n}{w_{GV}} \approx 2^{n-k} = |\mathbb{F}_2^n / \mathcal{C}|$$

- If  $w = \mathbb{E}(|\mathbf{e}|) \geq w_{GV}$ , could hope to have  $\Delta(\mu, \nu^{\mathcal{C}}) \leq \text{negl}(n)$
- Can we achieve this with our approach?

Yes! But for different noise distribution...

**NOISE FROM THE SPHERE**



# UNIFORM SPHERE NOISE

- Let  $\mathbf{e}$  be a uniformly random vector of weight  $w$ . Has distribution function

$$\psi_w(\mathbf{x}) = \frac{1_{S_w}(\mathbf{x})}{\binom{n}{w}} = \begin{cases} \frac{1}{\binom{n}{w}} & |\mathbf{x}| = w \\ 0 & \text{otherwise} \end{cases} \implies \hat{\psi}_w(\mathbf{x}) = 2^{-n} \cdot \frac{K_w(|\mathbf{x}|)}{\binom{n}{w}}$$

- Above,  $K_w(\cdot)$  is a **Krawtchouk** polynomial; give **orthonormal basis for radial** functions

# UNIFORM SPHERE NOISE

— Identity: 
$$\sum_{\ell=0}^n \frac{\binom{n}{\ell} K_w(\ell)^2}{2^n \binom{n}{w}} = 1$$

$$\mathbb{E} [2\Delta(\mu, \psi_w^{\mathcal{L}})] \leq \sqrt{\frac{2^n}{\binom{n}{w} 2^k}} \sqrt{\sum_{\ell>0} \frac{\binom{n}{\ell} K_w(\ell)^2}{2^n \binom{n}{w}}} \leq \sqrt{\frac{2^{n-k}}{\binom{n}{w}}}$$

$w \approx w_{GV}$  suffices!

# WHAT ABOUT BERNOULLI?

- Bernoulli distribution  $\varphi_p$  is **very concentrated**:  $|\mathbf{e}| = (1 \pm \varepsilon)pn$  with high probability
- Intuitively:  $\varphi_p$  and  $\psi_{pn}$  should smooth just about the same... and this is true!

$$\Delta(\mu, \varphi_p^{\mathcal{L}}) \leq \sum_{w=(1-\varepsilon)pn}^{(1+\varepsilon)pn} \Delta(\mu, \psi_w^{\mathcal{L}}) + 2^{-\Omega(n)}$$

$p \approx w_{GV}/n$  suffices!

# ARBITRARY CODES

# LP BOUNDS

- Given arbitrary  $[n, k, d]$  code  $\mathcal{C}$ , need to bound  $N_\ell(\mathcal{C}^*)$ 's
- Use LP bounds [MRRW77, ABL01]

**Thm:** [ABL01] Let  $\delta^* = d_{\min}(\mathcal{C}^*)/n$  and  $\delta^{*\perp} = \frac{1}{2} - \sqrt{\delta^*(1 - \delta^*)}$ .

Then

$$N_\ell(\mathcal{C}^*) \leq \begin{cases} \frac{\binom{n}{\delta^{*\perp}n}}{2^n} \binom{n}{\ell} & \ell/n \in (\delta^*, 1 - \delta^*) \approx 2^{-c(\delta^*) \cdot n} \binom{n}{\ell} \\ 2^{n\alpha(\ell/n, \delta^*)} & \text{otherwise} \end{cases}$$

where  $\alpha(\cdot, \cdot) < 1$  is a function related to Krawtchouk polynomials.

# PROBLEM WITH UNIFORM SPHERE NOISE

Suppose  $\mathbf{1} = (1, \dots, 1) \in \mathcal{C}^*$

$$\implies \forall \mathbf{c} \in \mathcal{C}, |\mathbf{c}| \equiv 0 \pmod{2}$$

$$\implies \forall \mathbf{c} \in \mathcal{C}, \mathbf{e} \in \mathcal{S}_w, |\mathbf{c} + \mathbf{e}| \equiv w \pmod{2}$$

Can't have  $\mathbf{c} + \mathbf{e} \approx$  uniform; **doesn't even touch** half the vectors!

**In general:**  $\mathcal{C}^*$  has large weight vectors

$$\implies 2^n \sum_{\ell \geq d_{\min}(\mathcal{C}^*)} N_{\ell}(\mathcal{C}^*) \hat{\psi}_w(\ell)^2 \text{ large}$$

$$\hat{\psi}_w(\mathbf{x}) = 2^{-n} \cdot \frac{K_w(|\mathbf{x}|)}{\binom{n}{w}}$$

# TRUNCATED BERNOULLI

Not so bad: 
$$\sum_{\ell > (n - d_{\min}(\mathcal{C}^*)) / 2} N_{\ell}(\mathcal{C}^*) \leq 1$$

Bernoulli noise does not have this "parity" problem

$$\hat{\varphi}_p(\mathbf{x}) = 2^{-n} (1 - 2p)^{|\mathbf{x}|}$$

Our solution: **Truncated Bernoulli**

Sample  $\mathbf{e} \sim \text{Ber}(p)^n$  conditioned on  $|\mathbf{e}| \in (p \pm \varepsilon)n$

**BACK TO LATTICES**



- In case of lattice  $\Lambda$ , if
  - $\mu$  is uniform distribution over  $\mathbb{R}^n / \Lambda$ ;
  - $\nu$  radial distribution over  $\mathbb{R}^n$ :

$$\Delta(\mu, \nu^\Lambda) \leq \frac{1}{2} \sqrt{\sum_{\mathbf{x} \in \Lambda^* \setminus \{\mathbf{0}\}} |\hat{\nu}(\mathbf{x})|^2}$$

Choices for  $\nu$

**Gaussian noise:**

$$D_s(\mathbf{x}) = \frac{1}{s^n} \exp\left(-\pi \|\mathbf{x}\|_2^2 / s\right)$$

**Uniform ball noise:**

$$\beta_w(\mathbf{x}) = \frac{\mathbf{1}_{\mathcal{B}_w}(\mathbf{x})}{V_n(w)} = \frac{\mathbf{1}\{\|\mathbf{x}\|_2 \leq w\}}{V_n(w)}$$

# RANDOM LATTICES

Used to analyse lattice dual attack: [DP23]

$$M = \text{Vol}(\mathbb{R}^n / \Lambda) = |\det(\Lambda)|$$

- Covolume  $M$  Haar random lattices satisfy that, for any \*nice\* function  $g$ ,

$$\mathbb{E}_{\Lambda} \left( \sum_{\vec{x} \in \Lambda \setminus \{0\}} g(\mathbf{x}) \right) = \frac{1}{M} \int_{\mathbb{R}^n} g(\mathbf{x}) d\mathbf{x}$$

(argue as  
with codes)

$$\implies \mathbb{E}_{\Lambda} \left( 2\Delta(\mu, \beta_w^{\Lambda}) \right) \leq \sqrt{\frac{M}{V_n(w)}}$$

In particular, if  $w > \sqrt{n/2\pi e} M^{1/n}$ .

$$< 2^{-\Omega(n)}$$

Gaussian heuristic!

Natively  
worse for  
Gaussian...

Can analogously  
argue Gaussian  
"close" to uniform  
ball noise!

# ARBITRARY LATTICES

Extra ingredients:

LP bound  
[Kabatiansky-Levenshtein'78]

Summing over annuli  
[Cohn-Elkies'03]

$$2\Delta(\mu, \beta_w^\Lambda) \leq$$

$$\sqrt{\frac{1}{V_n(w)} \sum_{j=0}^{\infty} N_j \cdot \varphi_j}$$

where

—  $t_0 = \lambda_1(\Lambda^*), t_{j+1} := (1 + 1/n)t_j$

—  $N_j = \#\{\mathbf{x}^* : t_j \leq \|\mathbf{x}^*\|_2 < t_{j+1}\}$

—  $\varphi_j = V_n(w)^{-1} \max \left\{ \widehat{1_{\mathcal{B}_w}}(\mathbf{x})^2 : t_j \leq \|\mathbf{x}\|_2 < t_{j+1} \right\}$

bound with LP

bound with asymptotics of Bessel functions

# COMPARISON

$$\begin{aligned} & \min F > 0 \text{ s.t.} \\ & \Delta(\nu^\Lambda, \mu) \leq 2^{-\Omega(n)} \\ & \text{when } \mathbb{E}_{\mathbf{e} \sim \nu} (|\mathbf{e}|_2) = \frac{Fn}{\lambda_1^*(\Lambda)} \end{aligned}$$

$$C_{\text{KL}} \approx 2^{0.401}$$

from LP bound

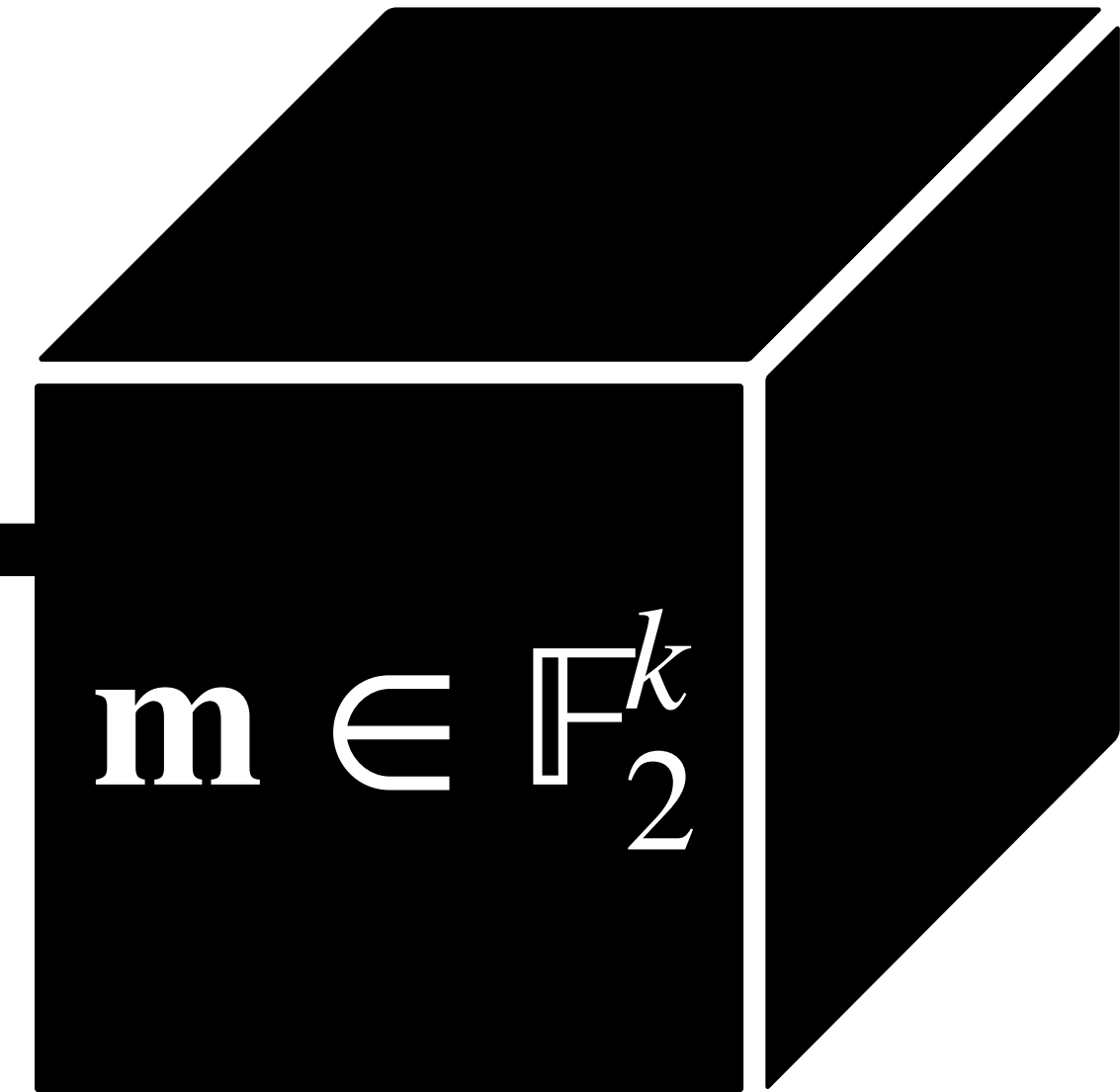
| Distribution | Proof strategy | Smooth. factor                                | Source   |
|--------------|----------------|---|----------|
| Gaussian     | PSF+TI+BT      | $1/(2\pi) \approx 0.159$                      | [MR07]   |
| Gaussian     | PSF+TI+LP      | $C_{\text{KL}}/(2\pi\sqrt{e}) \approx 0.127$  | [ADRS15] |
| Gaussian     | PI+CS+LP       | $C_{\text{KL}}/(2\pi\sqrt{2e}) \approx 0.090$ | Our work |
| Unif. Ball   | PI+CS+LP       | $C_{\text{KL}}/(2\pi e) \approx 0.077$        | Our work |
| Gaussian     | Unif. + Trunc. | $C_{\text{KL}}/(2\pi e) \approx 0.077$        | Our work |

For random  $q$ -ary lattices: [LLB'22] get same (optimal) bound as us

# WORST-CASE TO AVERAGE-CASE REDUCTIONS FOR CODES

# LEARNING PARITY WITH NOISE

$$(\mathbf{a}, \langle \mathbf{a}, \mathbf{m} \rangle + b)$$



Can request samples

$$\mathbf{a} \xleftarrow{\$} \mathbb{F}_2^k$$

$$b \xleftarrow{\$} \text{Ber}(p)$$

$$p < \frac{1}{2}$$

**Goal:** recover  $\mathbf{m}$

**Notation:**  $\text{LPN}(k, p)$

# DECODING PROBLEM

- Parameters:  $t, k, n \in \mathbb{N}, t, k \leq n$ :  $\text{DP}(n, k, t)$
- Given:
  - matrix  $\mathbf{G} \in \mathbb{F}_2^{k \times n}$ , and
  - vector  $\mathbf{y} = \mathbf{m}\mathbf{G} + \mathbf{v}$  where  $\mathbf{m} \in \mathbb{F}_2^k$  and  $\mathbf{v} \in \mathbb{F}_2^n, |\mathbf{v}| = t$
- Goal: find  $\mathbf{m}$

$$\mathbf{G}, \mathbf{m} \mathbf{G} + \mathbf{v} = \mathbf{y}$$

# REDUCTION

**Goal:** create algorithm  $\mathcal{B}$  solving  $\text{DP}(n, k, t)$

On input  $\mathbf{G}, \mathbf{y}$ :

Simulate  $\mathcal{A}$

Algorithm  $\mathcal{A}$   
solving  $\text{LPN}(k, p)$

When  $\mathcal{A}$  requests a new sample:

- Sample  $\mathbf{e} \sim \mathbb{F}_2^n$  from **smoothing distribution**
- Reply with  $(\mathbf{e}\mathbf{G}^\top, \langle \mathbf{e}, \mathbf{y} \rangle)$

$\mathbf{e}\mathbf{G}^\top \approx \mathbf{a} \iff \mathbf{e}$  smooths  
code checked by  $\mathbf{G}$

$$\begin{aligned} \langle \mathbf{e}, \mathbf{y} \rangle &= \langle \mathbf{e}\mathbf{G}^\top, \mathbf{s} \rangle + \langle \mathbf{e}, \mathbf{v} \rangle \\ &\approx \langle \mathbf{a}, \mathbf{s} \rangle + b \end{aligned}$$

**Minor issue:**  $\mathbf{e}\mathbf{G}^\top$  and  $\langle \mathbf{e}, \mathbf{v} \rangle$  **not independent**

**Bigger issue:**  $b = \langle \mathbf{e}, \mathbf{v} \rangle \sim \text{Ber}\left(\frac{1}{2} - \varepsilon\right)$  for **very small  $\varepsilon$ ...**



# "BEST" RESULTS...

- [BLVW19, YZ21, DR22]: all obtain the same qualitative result:

LPN  $(k, \frac{1}{2} - \frac{1}{n^4})$  hard



DP  $(k^{O(1)}, k, O(\log^2 k))$  hard

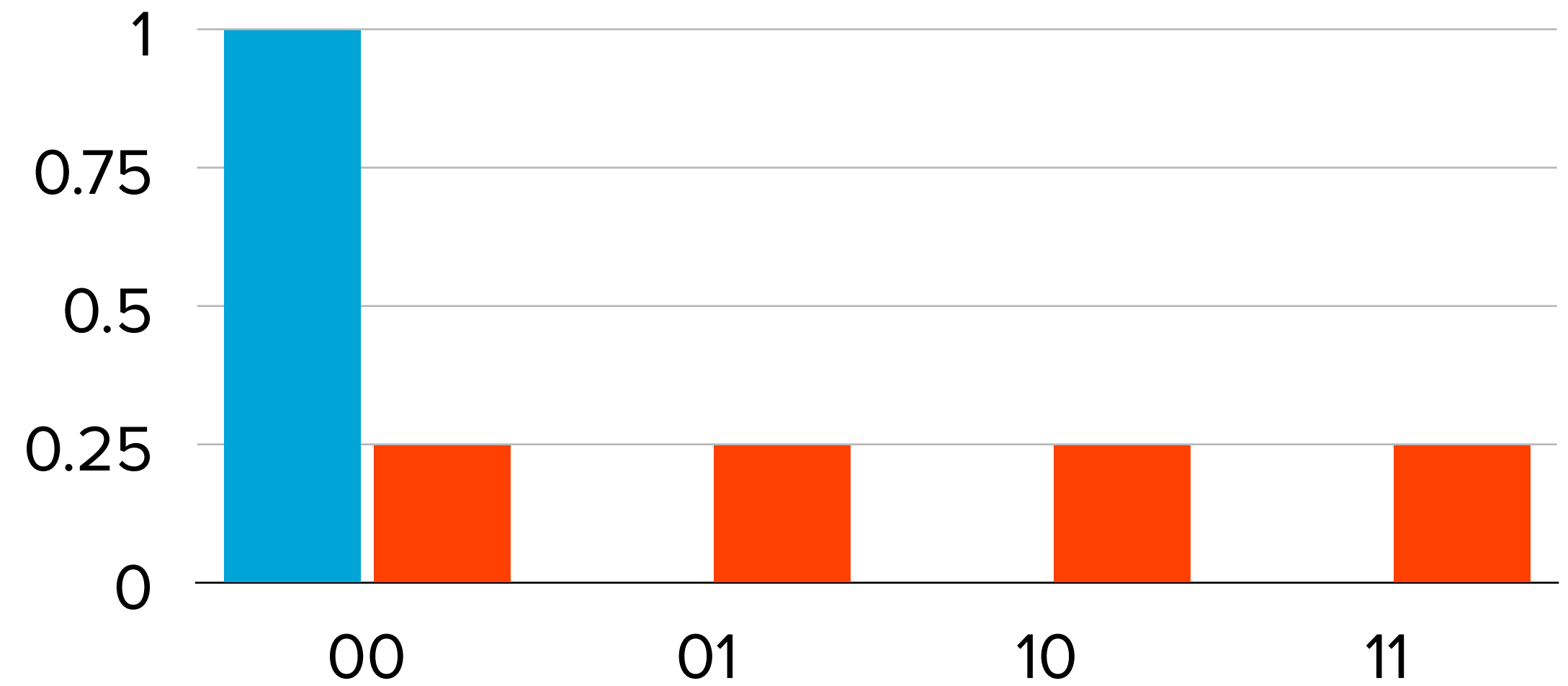
- Also require "balanced" assumption

Conclusion: there must be a better result possible... right?

- There (should be) some **information-theoretic barriers**...
- [BCD'23]: **search-to-decision reduction** for codes via oracle comparison method; uses [DR22] smoothing bound for Bernoulli

# SUMMARY

# SMOOTHING BOUNDS



Proofs that  $\Delta(\mathbf{u}, \mathbf{e} \bmod \mathcal{C})$  or  $\Delta(\mathbf{y}, \mathbf{e} \bmod \Lambda) \leq \text{negl}(n)$

## 3 step recipe:

1: Cauchy-Schwarz  
( $L_1 \rightarrow L_2$ )

2: Plancherel  
( $L_2 \rightarrow \ell_2$  in Fourier)

3: Poisson Sum  
(Fourier of period.)

$$\Delta(\mu, \nu^{\mathcal{C}}) \leq 2^n \sqrt{\sum_{\mathbf{c}^* \neq \mathbf{0}} \hat{\nu}(\mathbf{c}^*)^2}$$

# RANDOM CODES

# RANDOM LATTICES

$$\mathbb{E}_{\mathbf{v} \sim D_s}(|\mathbf{v}|) = s\sqrt{n/(2\pi)}$$

$$\text{Ber}(p)^n: p \geq \frac{1}{2} \left( 1 - \sqrt{2^R - 1} \right)$$

$$\text{Sph}(w): w \geq w_{GV}$$

$$\text{Gau}(s): s \geq M^{1/n} / \sqrt{2}$$

$$\text{Ball}(w): w \geq \sqrt{n/2\pi e} M^{1/n} =: w_{GH}$$

Truncation, concentration,  
convex combination:

$$\implies p \geq w_{GV}/n \text{ suffices} \\ \text{for Ber}(p)$$

Truncation, concentration,  
convex combination:

$$\implies s \geq w_{GH} \sqrt{2\pi/n} \text{ suffices} \\ \text{for Gau}(s)$$

# ARBITRARY CODES

Bound  $N_\ell(\mathcal{C}^*)$ 's w/ LP [AKL01]

Use truncated Bernoulli

Deal with  $\leq 1$  high weight  $\mathbf{c}^*$

# ARBITRARY LATTICES

Bound  $N_\ell(\Lambda^*)$ 's w/ LP [L79]

Can use uniform ball (or Gaussian)

Sum over annuli [CE03]

# OPEN QUESTIONS

- Can we **improve** worst-case to average-case reductions?
  - Or are there barriers?
- Maybe **different notions of "closeness"** are useful?
- Reductions for structured codes?
  - noise distributions for, e.g., quasi-cyclic codes are quite hard to interpret...

Thank you! Questions?