Quantum Lattice Enumeration in Limited Depth

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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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• Lattice-related hardness assumptions are some of the most popular tools when building quantum-resistant cryptographic primitives

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- The concrete hardness of the shortest vector problem (SVP) is at the core of the security estimations for lattice-based primitives
- The cost of SVP solvers is often the leading term in the cost of algorithms for solving lattice problems

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- At least two of these, sieving and enumeration, can be "compiled" into quantum algorithms using black-box methods [LMv13, KMPM19, ANS18, BCSS23]
- While the resulting asymptotic quantum speedups are understood, there's not a lot of work on their concrete cost; only sieving has been explored [AGPS20]

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- Our results suggest that, as is the case for Grover search against block ciphers [JNRV20], quantum speedups in this setting **may** not apply

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Quantum computation

To estimate the cost of quantum enumeration, we work in the "circuit model".









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- Here the wires are qubits, the nodes are gate evaluations.
- The cost of a circuit can be expressed in terms of different metrics, e.g. by counting wires, components, depth, area...

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[JS19] suggest that one can compare th classical CPU cycles.			ne $\#$ of quantum	gates ("G me	etric") with	

⁰Image courtesy of Sam Jaques.



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• $MD = 2^{40} \approx$ "gates that presently envisioned quantum computing architectures are expected to serially perform in a year"



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- $MD = 2^{64} \approx$ "gates that current classical computing architectures can perform serially in a decade"
- $MD = 2^{96} \approx$ "gates that atomic scale qubits with speed of light propagation times could perform in a millennium"

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Consequences of max-depth

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• AES-256: $MD < 2^{k/2} = 2^{128}$, what is naively required by Grover's

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 We need to account for Grover's parallelisation.
- Grover search parallelises badly [Zal99], causing the concrete quantum advantage to strongly reduce [JNRV20].

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Lattice enumeration

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Lattice	enumeration					

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- \bullet Conceptually, enumeration consists of depth-first search on a tree ${\cal T}$ containing short vectors as leaves
- As used in lattice reduction, in dimension *n*, this requires poly(n) memory, and $\mathbb{E}[\#T] = 2^{\frac{1}{8}n \log n + o(n)}$ time on average [ABF+20]

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We can see this as searching for a "marked leaf" in a tree, where a leaf is marked if its norm is $\leq R$.





• Nodes located on different levels Z_k





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 "Middle" levels super-exponentially large [GNR10]: #T ≈ #Z_{n/2}





 Nodes located on different levels Z_k

Conclusion

• "Middle" levels super-exponentially large [GNR10]: $\#T \approx \#Z_{n/2}$

 The tree size can be somewhat reduced by "pruning" nodes that are unlikely to yield a marked leaf



 In 2018, Montanaro introduces two quantum tree-search algorithms, DetectMV and FindMV [Mon18]



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- By performing decision on every level, $DetectMV \mapsto FindMV$, which returns such a leaf
- For trees with one (randomly distributed) marked leaf and $\#T \approx \mathcal{T}$:

Classical average-case runtime $O(\#T) \mapsto$ quantum average case $\tilde{O}(\sqrt{\#T \cdot n})$



$$\underbrace{\text{DF}(\mathcal{T}) \text{ times } QD(\mathcal{T}) \text{ times } WQ(\mathcal{T}, \mathcal{W}) \text{ times }}_{\text{FINDMV}} \xrightarrow{- - \bullet} \underbrace{\text{DETECTMV}}_{\text{OPE}} \xrightarrow{- - \bullet} \underbrace{\text{W} := R_A R_B}_{\text{Quantum circuit}}$$



$$\begin{array}{c|c} \mathbf{DF}(\mathcal{T}) \text{ times } & \mathbf{QD}(\mathcal{T}) \text{ times } & \mathbf{WQ}(\mathcal{T}, \mathcal{W}) \text{ times } \\ \hline \\ \hline \\ \mathbf{FINDMV} \\ - - \bullet & \mathbf{DETECTMV} \\ - - \bullet & \mathbf{QPE} \\ \hline \\ & \mathbf{QUE} \\ \hline \\ & \mathbf$$

 DetectMV consists of repeating multiple Quantum Phase Estimations (QPE) of an operator W that checks predicate P;



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- Under conservative estimations, we serially evaluate $\sqrt{\#T \cdot n}$ times W per QPE
- Our objective is to lower-bound the gate-cost of FindMV(T), while keeping the serial quantum depth within max-depht MD



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Conclusion

To check the hypothetical depth of such a QPE we:

• Chose a target scheme to attack (Kyber)

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- Chose a target scheme to attack (Kyber)
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- $\, \bullet \,$ Finally, we check if the resulting circuit depth of QPE is $\leq \textit{MD}$

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$$\underset{\substack{\text{random}\\\text{tree }T}}{\mathbb{E}} [\text{Depth}(\text{QPE}(W))] \approx \mathbb{E}[\sqrt{\#T \cdot \beta}] \approx \sqrt{\mathbb{E}[\#T] \cdot \beta} \approx \begin{cases} 2^{90.3} & \text{for Kyber-512,} \\ 2^{166.2} & \text{for Kyber-768,} \\ 2^{263.7} & \text{for Kyber-1024,} \end{cases}$$

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Conclusion

- I do know Jensen's inequality! $\mathbb{E}[\sqrt{\#\,T}] \leq \sqrt{\mathbb{E}[\#\,T]}$
- Just wait a handful of slides

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- ${\scriptstyle \bullet }$ We plausibly don't fit within 2^{96} depth
- We need smaller trees to enumerate



Classic trick from parallel enumeration



Quantum




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Classic trick from parallel enumeration

- Precompute nodes up to level k > 1, run FindMV on the subtrees.
- We can estimate the size of subtrees with similar techniques as for the full tree.



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Would t	his work? Up to	what level k do	we			
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Intro Q. Cryptanalysis Enumeration Q. Tree Search Q. Enum Would this work? Up to what level k do we precompute?

 k ≈ 1: in this case most of the tree fits within the quantum enumeration subroutine → a quadratic speedup without pre-computation, but maybe not our case



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•
$$k \approx n/2$$
: we run $\approx H_{n/2} \coloneqq |Z_{n/2}|$
quantum enumeration calls

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Intro Q. Cryptanalysis Enumeration Q. Tree Search Q. Enum Estimates Conclusion 000 Would this work? Up to what level k do we precompute?

- k ≈ 1: in this case most of the tree fits within the quantum enumeration subroutine → a quadratic speedup without pre-computation, but maybe not our case
- $k \approx n/2$: we run $\approx H_{n/2} \coloneqq |Z_{n/2}|$ quantum enumeration calls \implies total gate-count $\approx H_{n/2} \approx \text{cost}$ of classical enumeration
- $k \approx n$: we run some quantum enumeration, we precomputed more than $H_{n/2}$ classically, no advantage over classical enumeration



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Conclusion

Our best chance is $k \lesssim n/2$.

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• Try bundling! Assume 2^y qRAM available

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- Try bundling! Assume 2^y qRAM available
- Precompute sets of 2^{y} elements in Z_k , collect them under a 'virtual' node v, run FindMV over the tree T(v) with root v



Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Disclaimer

qRAM (a.k.a. QRACM) may be quite costly to access [JR23]. Yet, many quantum-classical speedups assume it.

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One last step: expected square roots

• We are trying to estimate or lower-bound $\mathbb{E}[\sqrt{\#T}]$, but the distribution of #T is unknown (Aono *et al.* [ANS18] already mention this issue)

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Definition: Multiplicative Jensen's gap

Let X be a random variable. We say X has multiplicative Jensen's gap 2^z if

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- $\bullet\,$ Ideally, we want an upper bound to z; up to $\beta=$ 70 we measure $z\approx 1$
- Without such bounds, we can run attack cost estimates as a function of z, and see at what point the hypothetical attack becomes viable

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Summarising, we obtain formulae for

• The depth of the individual QPE circuits we need to run

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Summarising, we obtain formulae for

- The depth of the individual QPE circuits we need to run
- The total number of gates we evaluate

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Summarising, we obtain formulae for

- The depth of the individual QPE circuits we need to run
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Quantum depth

$$\mathbb{E}\left[\mathsf{Depth}(\mathsf{QPE}(W))\right] \geq \frac{1}{2^z} \sqrt{\mathbb{E}\left[\#\mathcal{T}(v) \cdot (n-k+1)\right]} \cdot \mathsf{Depth}(W), \text{ for } g \in Z_k.$$

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Quantum gate-cost

$$\mathbb{E}_{\substack{\text{random}\\\text{tree }T}} [\text{Quantum Gates}] \approx \frac{H_k}{2^{y}} \cdot \mathbb{E} \left[\text{Gates}(\text{FindMV}(T(g))) \right]$$
$$\geq \frac{H_k}{2^{y}} \cdot \mathbb{E} \left[\sqrt{\#T(v) \cdot (n-k+1)} \right] \cdot \text{Gates}(W)$$
$$= \frac{H_k}{2^{y}} \cdot \frac{1}{2^z} \sqrt{\mathbb{E} \left[\#T(v) \cdot (n-k+1) \right]} \cdot \text{Gates}(W)$$

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We assume either Depth(W) = Gates(W) = 1 (in the "query-model") or an estimated lower bound based on best-known quantum arithmetic circuits (in the "circuit-model", recent work may help [BvHJ⁺23])

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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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- We estimate costs for every $k \le n, \ y \le 80, \ z \le 64$
- We report *z*, *k* minimising *classical* + *quantum gate-cost*

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mor	e likely	to be feasible				less like	ely to be feasible
		$\log \mathbb{E}[\text{GCost}]$	Γ (with \mathcal{W} as in §	4.1) below	$\log \mathbb{E}[\mathrm{GCost}]$] (with \mathcal{W} as in §	4.2) below
MD	Kyber	Target security	Grover on $AES_{\{128,192,256\}}$	${f Quasi-Sqrt}\ {}^1\!/{}_b\sqrt{\#{\cal T}\cdot h}$	Target security	Grover on $AES_{\{128,192,256\}}$	$egin{array}{llllllllllllllllllllllllllllllllllll$
2^{40}	-512 -768 -1024						
2^{64}	-512 -768 -1024						
2^{96}	-512 -768 -1024						
∞	-512 -768 -1024						

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more	e likely	to be feasible				less like	ely to be feasible
		$\log \mathbb{E}[\mathrm{GCost}]$	$[\Gamma]$ (with \mathcal{W} as in §	4.1) below	$\log \mathbb{E}[GCOST]$] (with \mathcal{W} as in §	4.2) below
MD	Kyber	Target security	Grover on $AES_{\{128,192,256\}}$	$egin{array}{llllllllllllllllllllllllllllllllllll$	Target security	Grover on $AES_{128,192,256}$	$egin{array}{llllllllllllllllllllllllllllllllllll$
2^{40}	-512 -768 -1024						
2^{64}	-512 -768 -1024						
2^{96}	-512 -768 -1024						
∞	-512 -768 -1024	$z \ge 0, \ k = 0 z \ge 0, \ k = 0 z \ge 9, \ k = 0$	$ z \ge 9, \ k = 0 z \ge 52, \ k = 0 z > 64 $	$ \begin{array}{c} z \geq 1, \; k = 0 \\ z \geq 1, \; k = 0 \\ z \geq 1, \; k = 0 \end{array} $	$z \ge 0, \ k = 0 z \ge 1, \ k = 0 z \ge 35, \ k = 0$	$z \ge 33, k = 0$ z > 64 z > 64	$z \ge 26, \ k = 0$ $z \ge 27, \ k = 0$ $z \ge 28, \ k = 0$

ntro 100		Q. Cryptanalysis 0000	Enumeration 000	Q. Tree Searc	h Q. Enun 00000	n Estimate 00000	s Conclusion
more	e likely	to be feasible				less lik	ely to be feasible
		$\log \mathbb{E}[\mathrm{GCost}]$	[] (with \mathcal{W} as in §	4.1) below	$\log \mathbb{E}[\text{GCost}]$] (with \mathcal{W} as in §	4.2) below
MD	Kyber	Target security	Grover on $AES_{\{128,192,256\}}$	$egin{array}{llllllllllllllllllllllllllllllllllll$	Target security	Grover on $AES_{128,192,256}$	$egin{array}{llllllllllllllllllllllllllllllllllll$
2^{40}	-512 -768 -1024						
2^{64}	-512 -768 -1024						
2^{96}	-512 -768 -1024	$z \ge 0, \ k \le 58$ $z \ge 23, \ k \le 106$ z > 64	$\begin{array}{c} z \geq 8, k \leq 53 \\ z \geq 56, k \leq 62 \\ z > 64 \end{array}$	$z \ge 1, \ k \le 58$ $z \ge 36, \ k \le 77$ z > 64	$ \begin{array}{c} z \geq 0, \ k \leq 63 \\ z \geq 40, \ k \leq 77 \\ z > 64 \end{array} $	$z \ge 33, \ k \le 54$ z > 64 z > 64	$z \ge 25, \ k \le 58$ $z \ge 52, \ k \le 77$ z > 64
∞	-512 -768 -1024	$z \ge 0, \ k = 0$ $z \ge 0, \ k = 0$ $z \ge 9, \ k = 0$	$z \ge 9, \ k = 0$ $z \ge 52, \ k = 0$ z > 64	$z \ge 1, \ k = 0$ $z \ge 1, \ k = 0$ $z \ge 1, \ k = 0$	$z \ge 0, \ k = 0 z \ge 1, \ k = 0 z \ge 35, \ k = 0$	$z \ge 33, k = 0$ z > 64 z > 64	$z \ge 26, \ k = 0$ $z \ge 27, \ k = 0$ $z \ge 28, \ k = 0$

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more	e likely	to be feasible				less lik	kely to be feasible
		$\log \mathbb{E}[\mathrm{GCost}]$	$[T]$ (with \mathcal{W} as in §	(4.1) below	$\log \mathbb{E}[\text{GCost}]$] (with \mathcal{W} as in §	4.2) below
MD	Kyber	Target security	Grover on $AES_{128,192,256}$	$egin{array}{llllllllllllllllllllllllllllllllllll$	Target security	Grover on $AES_{128,192,256}$	$egin{array}{llllllllllllllllllllllllllllllllllll$
2^{40}	-512 -768 -1024						
2^{64}	-512 -768 -1024	$z \ge 0, \ k \le 83$ $z \ge 39, \ k \le 114$ z > 64	$\begin{array}{c} z \ge 13, k \le 64 \\ z \ge 57, k \le 77 \\ z > 64 \end{array}$	$z \ge 14, \ k \le 59$ $z \ge 52, \ k \le 77$ z > 64		$z \ge 29, \ k \le 63$ z > 64 z > 64	$z \ge 30, \ k \le 63$ z > 64 z > 64
2^{96}	-512 -768 -1024	$z \ge 0, \ k \le 58$ $z \ge 23, \ k \le 106$ z > 64	$\begin{array}{c} z \geq 8, k \leq 53 \\ z \geq 56, k \leq 62 \\ z > 64 \end{array}$	$z \ge 1, k \le 58$ $z \ge 36, k \le 77$ z > 64	$ \begin{array}{c} z \ge 0, \ k \le 63 \\ z \ge 40, \ k \le 77 \\ z > 64 \end{array} $	$z \ge 33, \ k \le 54$ z > 64 z > 64	$z \ge 25, \ k \le 58$ $z \ge 52, \ k \le 77$ z > 64
∞	-512 -768 -1024	$z \ge 0, \ k = 0$ $z \ge 0, \ k = 0$ $z \ge 9, \ k = 0$	$z \ge 9, \ k = 0$ $z \ge 52, \ k = 0$ z > 64	$z \ge 1, \ k = 0$ $z \ge 1, \ k = 0$ $z \ge 1, \ k = 0$	$z \ge 0, \ k = 0 z \ge 1, \ k = 0 z \ge 35, \ k = 0$	$z \ge 33, k = 0$ z > 64 z > 64	$z \ge 26, \ k = 0$ $z \ge 27, \ k = 0$ $z \ge 28, \ k = 0$

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more	e likely	to be feasible				less lil	kely to be feasible
		$\log \mathbb{E}[\text{GCost}]$] (with \mathcal{W} as in §	4.1) below	$\log \mathbb{E}[\text{GCost}]$] (with $\mathcal W$ as in §	4.2) below
MD	Kyber	Target security	Grover on $AES_{\{128,192,256\}}$	$egin{array}{llllllllllllllllllllllllllllllllllll$	Target security	Grover on $AES_{\{128,192,256\}}$	$egin{array}{llllllllllllllllllllllllllllllllllll$
2^{40}	-512 -768 -1024	$z \ge 7, \ k \le 92$ $z \ge 51, \ k \le 114$ z > 64	$z \ge 13, k \le 83$ $z \ge 57, k \le 106$ z > 64	$z \ge 26, \ k \le 59$ $z \ge 64, \ k \le 77$ z > 64	$ \begin{array}{c} z \ge 23, \ k \le 96 \\ z > 64 \\ z > 64 \end{array} $	$z \ge 29, \ k \le 79$ z > 64 z > 64	$z \ge 42, \ k \le 63$ z > 64 z > 64
2^{64}	-512 -768 -1024	$z \ge 0, \ k \le 83$ $z \ge 39, \ k \le 114$ z > 64	$z \ge 13, k \le 64$ $z \ge 57, k \le 77$ z > 64	$z \ge 14, \ k \le 59$ $z \ge 52, \ k \le 77$ z > 64	$ \begin{array}{c} z \geq 11, \ k \leq 96 \\ z \geq 55, \ k \leq 111 \\ z > 64 \end{array} $	$z \ge 29, \ k \le 63$ z > 64 z > 64	$z \ge 30, \ k \le 63$ z > 64 z > 64
2^{96}	-512 -768 -1024	$z \ge 0, \ k \le 58$ $z \ge 23, \ k \le 106$ z > 64	$z \ge 8, \ k \le 53$ $z \ge 56, \ k \le 62$ z > 64	$z \ge 1, \ k \le 58$ $z \ge 36, \ k \le 77$ z > 64	$ \begin{array}{c c} z \ge 0, \ k \le 63 \\ z \ge 40, \ k \le 77 \\ z > 64 \end{array} $	$z \ge 33, \ k \le 54$ z > 64 z > 64	$z \ge 25, \ k \le 58$ $z \ge 52, \ k \le 77$ z > 64
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Q. Cryptanalysis
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• Kyber-768 and -1024 seem out of reach

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- Kyber-768 and -1024 seem out of reach
- Kyber-512 within reach in the "query-model", less clear for "circuit-model"

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 However AES-128 also within reach of Grover key-search in some settings...
 - And we are being quite strict in various parts of the computation

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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates
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Conclusion

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Disclaimer

Yet, we can't fully exclude it without a clear understanding of the Jensen gap.
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Disclaimer

Int

Yet, we can't fully exclude it without a clear understanding of the Jensen gap.

Can we say anything about it?

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Reasons to hope Q. Enum doesn't work:

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Reasons to hope Q. Enum doesn't work:

In our numbers we observe that the cost reduces smoothly as a funciton of z
 approximate estimates may already help

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Reasons to hope Q. Enum doesn't work:

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Reasons to hope Q. Enum doesn't work:

- In our numbers we observe that the cost reduces smoothly as a funciton of $z \implies$ approximate estimates may already help
- Experimental evidence up to $\beta = 70$ says $z \approx 1$
- We can prove lower bounds on $\mathbb{E}[\sqrt{\#T}]$ based on the additive and multiplicative Jensen's gaps, implying:

$$\mathbb{E}[\sqrt{\#T}] \geq \max\left\{\sqrt{\mathbb{E}[\#T]} - \sqrt[4]{\mathbb{V}[\#T]}, \quad 2^{-\frac{1}{2\ln 2}\sqrt[4]{\mathbb{V}[\#T]}} \cdot \sqrt{\mathbb{E}[\#T]}\right\}.$$

But both depend on $\mathbb{V}[\#T]$.

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Open p	problems					

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Open p	problems					

$$\#T = \sum_{k=1}^{n} |Z_k| = \sum_{k=1}^{n} \left| \mathsf{Ball}_k(\mathbf{0}, R) \cap Lat(\pi_{n-k+1}(b_{n-k+1}), \dots, \pi_{n-k+1}(b_n)) \right|$$

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Open	problems					

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$$\mathbb{V}_{\substack{\text{random}\\\text{tree }T}}[|\mathsf{Ball}_k(\mathbf{0}, R_k) \cap \pi_{n-k+1}(\Lambda)|]? \qquad \mathbb{V}_{\substack{\text{random}\\\text{tree }T}}[\#T]?$$

• There's some results for random real lattices [AEN], but unclear if they apply to lattices during BKZ reduction

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Open	problems					

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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Open p	oroblems					

• We've only covered cylinder pruning. What about discrete pruning? Or ad-hoc pruning for quantum enumeration?

Intro 000	Q. Cryptanalysis	Enumeration 000	Q. Tree Search 000	Q. Enum 00000	Estimates 00000	Conclusion
Open	problems					

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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Open	problems					

- We've only covered cylinder pruning. What about discrete pruning? Or ad-hoc pruning for quantum enumeration?
- Currently searching for attack costs is an optimisation problem. Can we find a closed formula? This would allow running it as part of "estimator" scripts.
- There quite a few places where our analysis may not be tight, meaning actual costs are likely higher.

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Co	onclusions					
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Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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• Asymptotically quadratic quantum speedups on enumeration may not hold under max-depth constraints

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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- Asymptotically quadratic quantum speedups on enumeration may not hold under max-depth constraints
- Technically hard to fully exclude the viability of quantum enumeration

Intro	Q. Cryptanalysis	Enumeration	Q. Tree Search	Q. Enum	Estimates	Conclusion
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Intro 000	Q. Cryptanalysis	Enumeration 000	Q. Tree Search	Q. Enum 00000	Estimates 00000	Conclusion 00●

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Thank you

Slides @ https://fundamental.domains

Q. Cryptanalysis Enumeration Q. Tree Search Q. Enum Estimates Conclusion 000



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